## IAP12 Rec'd PCT/PTO 02 MAY 2007



## PATENT ATTORNEY DOCKET NO. 50458/002001

Confidence of Maning. Date of DepositApril 30, 2007_	Certificate of Mailing	: Date of Deposit:	April 30, 2007
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I hereby certify under 37 C.F.R. § 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Seung Cha

Printed name of person mailing correspondence

Signature of person mailing correspondence

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Christian Korherr

Confirmation No.:

7111

Serial No.:

10/574,306

Art Unit:

1614

§ 371 date:

August 7, 2006

Examiner:

Not yet assigned

Customer No.:

21559

Title:

MEDICAL USE OF TBK-1 OR OF INHIBITORS THEREOF

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# REPLY TO NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

In reply to the Notification to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures, Applicants submit that the requirements had been met on August 7, 2006 with the submission of a Biochemical Sequence Diskette, along with a Substitute Sequence Listing and a Substitute Statement under 37 C.F.R. § 1.821(a).

Enclosed in support of the present reply are copies of the following:

- a copy of the Notification to Comply with Requirements for Patent Applications
   Containing Nucleotide and/or Amino Acid Sequence Disclosures, mailed October 2,
   2006;
- a copy of the Substitute Sequence Listing and the Substitute Statement under 37 C.F.R. § 1.821(a) including a certificate of mailing under 37 C.F.R. § 1.10 dated August 7, 2006, as printed from the Patent Application Information Retrieval Image File Wrapper;
- a copy of the Notice of Acceptance of Application, mailed March 23, 2007, indicating the receipt of a Biochemical Sequence Diskette on August 7, 2006; and
- a copy of a Notice produced by the Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) indicating that the raw sequence listing had been entered without detected error on March 13, 2007, as printed from the Patent Application Information Retrieval Image File Wrapper.

Because Applicants had complied with the requirements for patent applications containing nucleotide and/or amino acid sequence disclosures prior to the mailing of the included notice, Applicants believe that there are no fees due at this time. If there are any charges or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date:

Kristina Bieker-F

Deg NA 30 108

Clark & Elbing LLP 101 Federal Street Boston, MA 02110

Telephone: 617-428-0200 Facsimile: 617-428-7045

UNITED STATES DEPARTMENT OF COMMERCE - -United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Vinginia 22313-1450

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO. 50458002001

10/574,306

Christian Korherr

INTERNATIONAL APPLICATION NO.

PCT/EP04/10996

**ACTION DUE** 

INITIALS

I.A. FILING DATE

PRIORITY DATE

DUE DATE

**ESP** 

10/01/2004

10/02/2003

**CONFIRMATION NO. 7111** 

**371 FORMALITIES LETTER** 

\*OC000000020642166\*

Date Mailed: 10/02/2006

**CLARK & ELBING LLP** 

101 FEDERAL STREET BOSTON, MA 02110

21559

### NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

 A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

**DEBORAH D WILLIAMS** 



Telephone: (703) 308-9140 EXT 205

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/574.306	PCT/EP04/10996	50458002001

FORM PCT/DO/EO/922 (371 Formalities Notice)



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1430 Alexandria, Viginia 22313-1450 www.unjto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/574,306 Christian Korhert 50458/002001

INTERNATIONAL APPLICATION NO.

21559 CLARK & ELBING LLP 101 FEDERAL STREET PCT/EP04/10996

I.A. FILING DATE PRIORITY DATE

10/01/2004 10/02/2003

Date Mailed: 03/23/2007

BOSTON, MA 02110

#### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

08/07/2006

08/07/2006

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 04/03/2006
- Copy of the International Search Report filed on 04/03/2006
- Copy of IPE Report filed on 08/07/2006
- Preliminary Amendments filed on 04/03/2006
- Information Disclosure Statements filed on 08/07/2006
- Biochemical Sequence Diskette filed on 08/07/2006
- Oath or Declaration filed on 08/07/2006
- Biochemical Sequence Listing filed on 04/03/2006
- Request for Immediate Examination filed on 04/03/2006
- U.S. Basic National Fees filed on 04/03/2006
- Priority Documents filed on 04/03/2006

- Power of Attorney filed on 08/07/2006
- Specification filed on 04/03/2006
- Claims filed on 04/03/2006
- Abstracts filed on 04/03/2006
- Drawings filed on 04/03/2006

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

DEBORAH D WILLIAMS
Telephone: (703) 308-9140 EXT 205

PART 3 - OFFICE COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

## **RAW SEQUENCE LISTING**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) no errors detected.

Application Serial Number:	10/574,306
Source:	TFWP
Date Processed by STIC:	03/13/2007
- · · · · · · · · · · · · · · · · · · ·	

# ENTERED



**IFWP** 

RAW SEQUENCE LISTING DATE: 03/13/2007 PATENT APPLICATION: US/10/574,306 TIME: 08:29:13

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3 <110> APPLICANT: Korherr, Christian

Output Set: N:\CRF4\03132007\J574306.raw

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 7 <130> FILE REFERENCE: 50458/002001
 9 <140> CURRENT APPLICATION NUMBER: US 10/574,306
10 <141> CURRENT FILING DATE: 2006-04-03
12 <150> PRIOR APPLICATION NUMBER: PCT/EP2004/010996
13 <151> PRIOR FILING DATE: 2004-10-01
15 <150> PRIOR APPLICATION NUMBER: US 60/508,100
16 <151> PRIOR FILING DATE: 2003-10-02
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76 aagatgatta caatgaaact gttcacaaaa agacagaagt tgtgatcaca ttggatttct
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1620

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88 cagaacgtag attagcttat aatgaagaac aaatccacaa atttgataag caaaaactgt
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92 catttttgaa taagtcagaa gaatggataa gaaagatgct tcatcttagg aaacagttat
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106 aatgaatgcc tttatagata gtcacttgtt tctacaattc agtatttgat gtggtcgtgt
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124 tttttccttt ggccataaat gtgtaattgt cattaaaatt ctaaggtcat ttcaactgtt
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150 Val Asp Val Gln Met Arg Glu Phe Glu Val Leu Lys Lys Leu Asn His
                            55
154 Lys Asn Ile Val Lys Leu Phe Ala Ile Glu Glu Glu Thr Thr Thr Arg
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158 His Lys Val Leu Ile Met Glu Phe Cys Pro Cys Gly Ser Leu Tyr Thr
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162 Val Leu Glu Glu Pro Ser Asn Ala Tyr Gly Leu Pro Glu Ser Glu Phe
                100
                                    105
166 Leu Ile Val Leu Arg Asp Val Val Gly Met Asn His Leu Arg Glu
            115
                                120
170 Asn Gly Ile Val His Arg Asp Ile Lys Pro Gly Asn Ile Met Arg Val
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174 Ile Gly Glu Asp Gly Gln Ser Val Tyr Lys Leu Thr Asp Phe Gly Ala
175 145
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Input Set : A:\50458.002001.txt

Output Set: N:\CRF4\03132007\J574306.raw

178 Ala Arg Glu Leu Glu Asp Asp Glu Gln Phe Val Ser Leu Tyr Gly Thr 182 Glu Glu Tyr Leu His Pro Asp Met Tyr Glu Arg Ala Val Leu Arg Lys 186 Asp His Gln Lys Lys Tyr Gly Ala Thr Val Asp Leu Trp Ser Ile Gly 190 Val Thr Phe Tyr His Ala Ala Thr Gly Ser Leu Pro Phe Arg Pro Phe 194 Glu Gly Pro Arg Arg Asn Lys Glu Val Met Tyr Lys Ile Ile Thr Gly 198 Lys Pro Ser Gly Ala Ile Ser Gly Val Gln Lys Ala Glu Asn Gly Pro 202 Ile Asp Trp Ser Gly Asp Met Pro Val Ser Cys Ser Leu Ser Arg Gly 206 Leu Gln Val Leu Leu Thr Pro Val Leu Ala Asn Ile Leu Glu Ala Asp 210 Gln Glu Lys Cys Trp Gly Phe Asp Gln Phe Phe Ala Glu Thr Ser Asp 214 Ile Leu His Arg Met Val Ile His Val Phe Ser Leu Gln Gln Met Thr 218 Ala His Lys Ile Tyr Ile His Ser Tyr Asn Thr Ala Thr Ile Phe His 222 Glu Leu Val Tyr Lys Gln Thr Lys Ile Ile Ser Ser Asn Gln Glu Leu 226 Ile Tyr Glu Gly Arg Arg Leu Val Leu Glu Pro Gly Arg Leu Ala Gln 230 His Phe Pro Lys Thr Thr Glu Glu Asn Pro Ile Phe Val Val Ser Arg 234 Glu Pro Leu Asn Thr Ile Gly Leu Ile Tyr Glu Lys Ile Ser Leu Pro 238 Lys Val His Pro Arg Tyr Asp Leu Asp Gly Asp Ala Ser Met Ala Lys 242 Ala Ile Thr Gly Val Val Cys Tyr Ala Cys Arg Ile Ala Ser Thr Leu 246 Leu Leu Tyr Gln Glu Leu Met Arg Lys Gly Ile Arg Trp Leu Ile Glu 250 Leu Ile Lys Asp Asp Tyr Asn Glu Thr Val His Lys Lys Thr Glu Val 254 Val Ile Thr Leu Asp Phe Cys Ile Arg Asn Ile Glu Lys Thr Val Lys 258 Val Tyr Glu Lys Leu Met Lys Ile Asn Leu Glu Ala Ala Glu Leu Gly 262 Glu Ile Ser Asp Ile His Thr Lys Leu Leu Arg Leu Ser Ser Ser Gln 266 Gly Thr Ile Glu Thr Ser Leu Gln Asp Ile Asp Ser Arg Leu Ser Pro 270 Gly Gly Ser Leu Ala Asp Ala Trp Ala His Gln Glu Gly Thr His Pro 274 Lys Asp Arg Asn Val Glu Lys Leu Gln Val Leu Leu Asn Cys Met Thr

Input Set : A:\50458.002001.txt

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278 Glu Ile Tyr Tyr Gln Phe Lys Lys Asp Lys Ala Glu Arg Arg Leu Ala
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282 Tyr Asn Glu Glu Gln Ile His Lys Phe Asp Lys Gln Lys Leu Tyr Tyr
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                                    585
286 His Ala Thr Lys Ala Met Thr His Phe Thr Asp Glu Cys Val Lys Lys
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287 595
290 Tyr Glu Ala Phe Leu Asn Lys Ser Glu Glu Trp Ile Arg Lys Met Leu
291 610.
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294 His Leu Arg Lys Gln Leu Leu Ser Leu Thr Asn Gln Cys Phe Asp Ile
                        630
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298 Glu Glu Glu Val Ser Lys Tyr Gln Glu Tyr Thr Asn Glu Leu Gln Glu
302 Thr Leu Pro Gln Lys Met Phe Thr Ala Ser Ser Gly Ile Lys His Thr
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306 Met Thr Pro Ile Tyr Pro Ser Ser Asn Thr Leu Val Glu Met Thr Leu
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310 Gly Met Lys Lys Leu Lys Glu Glu Met Glu Gly Val Val Lys Glu Leu
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Input Set : A:\50458.002001.txt

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35 3

RAW SEQUENCE LISTING ERROR SUMMARY DATE: 03/13/2007 PATENT APPLICATION: US/10/574,306 TIME: 08:29:14

Input Set : A:\50458.002001.txt

Output Set: N:\CRF4\03132007\J574306.raw

#### Invalid <213> Response:

Use of "Artificial" only as "<213> Organism" response is incomplete, per 1.823(b) of New Sequence Rules. Valid response is Artificial Sequence.

Seg#:3,4,5,6,7,8,9,10

45%

**VERIFICATION SUMMARY** DATE: 03/13/2007

PATENT APPLICATION: US/10/574,306 TIME: 08:29:14

Input Set : A:\50458.002001.txt